**ZURIK Admin Module for Documents upload**

**Objective:**

The Objective of this document is to consolidate the requirements for documents admin module for Zurik Operations team to upload Regulatory documents for various jurisdictions for different types of Regulations.

The module has been developed already. However, this document explains the complete requirement to ensure that we have the functionality to upload documents for any type of regulation.

**Requirements:**

The Front end module for documents administration should contain all the below fields in the same order

**Field 1: Geography**

Type of field: Drop down box

Drop down values: EU, US, UK, APAC, Australia, Africa, Middle East

**Field 2: Country/States**

Type of field: Drop down box

Drop down values: Depending on Field 1 selection, below drop down values need to be shown

EU – All

UK – UK

US – All, (then show all the states in the US)

APAC – All, Hong Kong, India, Singapore

Australia – All

Middle East – All, Dubai, UAE, Saudi Arabia

Africa – All, South Africa, Nigeria, Kenya

**Field 3: Domain**

Type of field: Drop down box

Drop down values: Supervisory, Banking/Credit Union, Consumer Finance, Payment Services, Financial Markets, Financial Crime, Insurance, Pensions

**Field 4: Regulator**

Type of field: Drop down box

Drop down values: Based on the selection criteria on fields 1, ,2 an 3, the field 4 should show drop down values as shown in the table below.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Supervisory** | **Banking/Credit Union** | **Consumer Finance** | **Payment Services** | **Financial Markets** | **Financial Crime** | **Insurance** | **Pension** |
| **Countries** |  |  |  |  |  |  |  |  |
| EU | ECB/ESRB | EBA |  |  | ESMA |  | EIOPA | EIOPA |
| UK | BOE, FOC | PRA |  |  | FCA |  | PRA |  |
| US | FSOC,FFIEC, PWG | OCC,FDIC,NCUA,  FRB | FHFA, CFPB, FDIC |  | SEC, CFTV, FINRA, NFA | FINCEN | NAIC |  |
| Hongkong | HKMA | HKMA | HKMA | HKMA | SFC | HKMA | Office of the commissioner of Insurance |  |
| Singapore | MAS | MAS | MAS | MAS | MAS | MAS | MAS |  |
| India | RBI | RBI |  |  | SEBI, FMC |  | IRDAI | PFRDA |
| Australia | APRA | APRA | ASIC |  | ASIC |  | ASIC |  |
| Canada | OSFI | OSFI, FSCO | CDIC |  | CSA, IIROC(Self),  MFDA(Self),  AMF(Quebec),  BCSC(British Columbia) | FINTRAC | OSFI, FSCO,  ANF(Quebec) | OSFI, FSCO |
| Saudi Arabia | SAMA | SAMA | SAMA | SAMA | CMA |  |  |  |
| UAE |  |  |  |  | SCA |  | IA |  |
| Dubai | DFSA | DFSA | DFSA |  | DFSA | DFSA | DFSA |  |
| Nigeria | CBN |  |  |  | SEC, NSE(Self) |  |  |  |
| Kenya |  |  |  |  | CMA |  |  |  |
| South Africa | SARB | SARB | NCR |  | FSB |  | FSB |  |

**Field 5: Regulation**

Type of field: Drop down box

Drop down values: Based on the table shown above, regulations to be populated. Our team will give you the list of regulations per country. For now, we only have EU regulations completed. I will pass this information later.

**Field 6: Type of Documents**

Type of field: Drop down box

Drop down values: Summary, Timeline, Lifecycle, Legislation, Analysis, Alerts

**Field 7: Sub-Type of Documents**

Type of field: Drop down box

Drop down values: List of values to be provided by us shortly. We have only for EU now.

**Appendix**

A sample hierarchy of Zurik regulatory tracking/admin module shown below

Geography

Country

Domain

Regulator

Regulation

Type of document Sub-type of document

